11-23-01

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

J1041 U.S. PTO
11/21/01

Atty. Dkt. No: 5253-04200 §

Inventor(s): §
Melody Vos and Jeff Slavin §

Title: DATABASE MANAGEMENT SYSTEM AND METHOD WHICH MONITORS ACTION RESULTS AND ADJUSTS USER PARAMETERS IN RESPONSE §

CERTIFICATE OF EXPRESS MAIL
UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EL893746681US
DATE OF DEPOSIT: November 21, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Derrick Brown

J1040 U.S. PTO
09/990770
11/21/01

Express Mail Label No. EL893746681US

UTILITY PATENT APPLICATION TRANSMITTAL

(For new non-provisional applications under 37 CFR § 1.53(b))

Application Elements

Please note that this application is filed without an inventors' Declaration and Power of Attorney, Assignment and filing fees. Pursuant to 37 C.F.R. § 1.53(b) and (d), the Applicant requests the Patent and Trademark Office to accept this application and accord a serial number and filing date as of the date this application is deposited with the U.S. Postal Service for Express Mail. Further, the Applicant requests that the Notice of Missing Parts-Filing Date Granted pursuant to 37 C.F.R. § 1.53(d) be sent to the undersigned Applicant's representative.

1. ☐ Filing Fee
The filing fee is calculated as shown below.

Total Claims	45	-20=		x $18.00=	
Independent Claims	3	-3 =		x $84.00=	
Multiple Dependent Claims				Fee:	
				Basic Fee:	
				Sub-Total:	
				Reduction by 50% for Small Entity:	
				Assignment Fee	
				Total:	

☐ Fee authorizations in the amount of _____ are enclosed.
The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Conley, Rose, & Tayon, P.C., Deposit Account No. 501505/ / .

2. ☒ Specification
31 page(s) of specification; 8 page(s) of claims, 1 page(s) of abstract

3. ☒ Drawings
Formal Figure(s) 1-14 on 11 sheet(s)

4. ☐ Oath or Declaration
☐ Newly executed
☐ Copy from a prior application (see 37 C.F.R. § 1.63(d))
Deletion of Inventor(s) (in continuation or divisional applications):

☐ Delete the following inventor(s) named in the prior non-provisional application:

☐ The inventor(s) to be deleted are set forth on a signed sheet attached hereto.

5. ☐ The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable copy
 - ☐ Paper Copy (identical to computer copy)
 - ☐ Statement verifying identity of above copies
8. ☐ Assignment Papers
9. Power of Attorney
 - ☐ Is attached.
 - ☐ The power of attorney appears in the original papers of the prior application.
 - ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
10. ☐ Information Disclosure Statement (IDS)
 - ☐ Copies of IDS Citations
11. Amendments
 - ☐ A preliminary amendment is enclosed.
 - ☐ Cancel in this application claim(s) ____ before calculating the filing fee. At least one independent claim is retained for filing purposes.
 - ☐ Amend the specification by inserting before the first line the sentence:_____.
12. ☒ Return Receipt Postcard
13. Small Entity Status
 - ☐ A small entity statement is enclosed.
 - ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
 - ☐ Is no longer claimed.
14. ☐ Priority of foreign application number _____, filed on in _____ is claimed under 35 U.S.C. § 119(a)-(d)
15. ☐ Petition under 37 C.F.R. § 136 for Extension of Time
16. ☐ Other: _____

Address all future correspondence to:
Jeffrey C. Hood
Conley, Rose, & Tayon, P.C.
P.O. Box 398
Austin, Texas 78767
Phone: (512) 476-1400 Fax: (512) 703-1250

Signature _____
Name Jeffrey C. Hood
Registration No. 35,198
Date 11/21/2001